

Fintek Securities Pty Ltd

ACN 651 594 946

AFSL 533278

Hedging Policy Website

28 May 2024

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Hedging Policy

Introduction

Fintek Securities Pty Ltd (ACN 651 594 946), is a company incorporated and registered in Australia (referred to in this Privacy Policy as “**Fintek Securities**”, “**Company**”, “**we**”, “**our**”, “**us**”). We hold an Australian Financial Service Licence number 533278.

You deal with us as counterparty to every transaction you enter on our trading platforms. You will have an exposure to us in relation to each transaction if we are not ready, willing, or able to meet our obligations, for example, if we were to become insolvent.

You are reliant on our ability to meet our counterparty obligations to you to settle the relevant contract.

Our policy to manage our exposure to market risk from client positions is to offset (hedge) client trades with third party hedging counterparties on a back-to-back basis. To enter such hedges, we hold margin with prime brokerages. This creates an element of risk to you as we are exposed to our hedging counterparties.

Due diligence on hedging counterparties

We ensure that our hedging counterparties are appropriately licensed (where required) and regulated in the relevant jurisdiction, and meet the following criteria:

- have strong financial standing;
- have adequate compliance resources;
- have a robust risk management framework; and
- have a proven track record in relation to OTC CFD products.

We may, at times, change our hedging counterparties.

Our current hedging counterparties are:

| Name | Location | Licence Status |
|---------------------------------------|----------------|----------------|
| CMC Markets Asia Pacific Pty Ltd | Australia | Active |
| IG Australia Pty Ltd | Australia | Active |
| StoneX Financial Ltd | United Kingdom | Active |
| Interactive Brokers Australia Pty Ltd | Australia | Active |

This list is current as of 28 May 2024.